<u>In the claims:</u>

1. (Issued Patent (allowed)) An apparatus for coding, storing or transmitting, and decoding M x M sized blocks of digitally represented images, where M is an even number, comprising

 a. a forward transform comprising

  i. a base transform having M channels numbered 0 through M-1, half of said channel numbers being odd and half being even;

  ii. an equal normalization factor in each of the M channels selected to be dyadic-rational;

  iii. a full-scale butterfly implemented as a series of lifting steps with a first set of dyadic rational coefficients;

  iv. M/2 delay lines in the odd numbered channels;

  v. a full-scale butterfly implemented as a series of lifting steps with said first set of dyadic rational coefficients; and

  vi. a series of lifting steps in the odd numbered channels with a second specifically selected set of dyadic-rational coefficients;

 b. means for transmission or storage of the transform output coefficients; and

 c. an inverse transform comprising

    i.   M channels numbered 0 through M-1, half of said channel numbers being odd and

           half being even;

    ii.   a series of inverse lifting steps in the odd numbered channels with said second set

           of specifically selected dyadic-rational coefficients;

    iii.   a full-scale butterfly implemented as a series of lifting steps with said first set of

           specifically selected dyadic-rational coefficients;

    iv.   M/2 delay lines in the even numbered channels;

    v.   a full-scale butterfly implemented as a series of lifting steps with said first set of

           specifically selected dyadic-rational coefficients;

    vi.   an equal denormalization factor in each of the M channels specifically selected to

           be dyadic-rational; and

    vii.   a base inverse transform having M channels numbered 0 through M-1.

2. (Issued Patent (allowed)) The apparatus of Claim 1 in which the normalizing factor takes the value 25/16 and simultaneously the denormalizing factor takes the value 16/25.

3. (Issued Patent (allowed)) The apparatus of Claim 1 in which the normalizing factor takes the value 5/4 and simultaneously the denormalizing factor takes the value 4/5.

4. (Issued Patent (allowed)) The apparatus of Claim 1 in which the first set of dyadic rational coefficients are all equal to 1.

5. (Issued Patent (allowed)) The apparatus of Claim 1 in which the second set of dyadic

rational coefficients are all equal to ½.

6. (Issued Patent (allowed)) The apparatus of Claim 1 in which the base transform is any M x

M invertible matrix of the form of a linear phase filter and the inverse base transform is the

inverse of said M x M invertible matrix.

7. (Issued Patent (allowed)) The apparatus of Claim 1 in which the base transform is the

forward M x M discrete cosine transform and the inverse base transform is the inverse M x M

discrete cosine transform.

8. (Issued Patent (allowed)) An apparatus for coding, compressing, storing or transmitting, and

decoding a block of M x M intensities from a digital image selected by an M x M window

moving recursively over the image, comprising:

a. an M x M block transform comprising:

    i.   an initial stage

    ii.   a normalizing factor in each channel

b. a cascade comprising a plurality of dyadic rational lifting transforms, each of said

plurality of dyadic rational lifting transforms comprising

    i.   a first bank of pairs of butterfly lifting steps with unitary coefficients between

            adjacent lines of said transform;

    ii.   a bank of delay lines in a first group of M/2 alternating lines;

    iii.   a second bank of butterfly lifting steps with unitary coefficients, and

iv.   a bank of pairs of butterfly lifting steps with coefficients of 1/2 between M/2 -1

pairs of said M/2 alternating lines;

c. means for transmission or storage of the output coefficients of said M x M block

transform; and

d. an inverse transform comprising

i.   a cascade comprising a plurality of dyadic rational lifting transforms, each of said

plurality of dyadic rational lifting transforms comprising

a) a bank of pairs of butterfly lifting steps with coefficients of 1/2 between said

M/2 -1 pairs of said M/2 alternating lines;

b) a first bank of pairs of butterfly lifting steps with unitary coefficients between

adjacent lines of said transform;

c) a bank of delay lines in a second group of M/2 alternating lines; and

d) a second bank of pairs of butterfly lifting steps with unitary coefficients

between adjacent lines of said transform;

ii.   a de-scaling bank; and

iii.   an inverse initial stage.

9. (Issued Patent (allowed)) A method of coding, storing or transmitting, and decoding M x M

sized blocks of digitally represented images, where M is a power of 2, comprising

a. transmitting the original picture signals to a coder, which effects the steps of

    i.   converting the signals with a base transform having M channels numbered 0

                through M-1, half of said channel numbers being odd and half being even;

    ii.   normalizing the output of the preceding step with a dyadic rational normalization

                factor in each of said M channels;

    iii.   processing the output of the preceding step through two lifting steps with a first

                set of identical dyadic rational coefficients connecting each pair of adjacent numbered

                channels in a butterfly configuration;

    iv.   transmitting the resulting coefficients through M/2 delay lines in the odd

                numbered channels;

    v.   processing the output of the preceding step through two inverse lifting steps with

                the first set of dyadic rational coefficients connecting each pair of adjacent numbered

                channels in a butterfly configuration; and

    vi.   applying two lifting steps with a second set of identical dyadic rational

                coefficients connecting each pair of adjacent odd numbered channels to the output of

                the preceding step;

b. transmitting or storing the transform output coefficients;

c. receiving the transform output coefficients in a decoder; and

d. processing the output coefficients in a decoder, comprising the steps of

i.   receiving the coefficients in M channels numbered 0 through M-1, half of said

channel numbers being odd and half being even;

ii.   applying two inverse lifting steps with dyadic rational coefficients connecting

each pair of adjacent odd numbered channels;

iii.   applying two lifting steps with dyadic rational coefficients connecting each pair of

adjacent numbered channels in a butterfly configuration;

iv.   transmitting the result of the preceding step through M/2 delay lines in the even

numbered channels;

v.   applying two inverse lifting steps with dyadic rational coefficients connecting

each pair of adjacent numbered channels in a butterfly configuration;

vi.   denormalizing the result of the preceding step with a dyadic rational inverse

normalization factor in each of said M channels; and

vii.   processing the result of the preceding step through a base inverse transform

having M channels numbered 0 through M-1.

10. (Issued Patent (allowed)) A method of coding, compressing, storing or transmitting, and

decoding a block of M x M intensities from a digital image selected by an M x M window

moving recursively over the image, comprising the steps of:

a. Processing the intensities in an M x M block coder comprising the steps of:

i.   processing the intensities through an initial stage;

      ii.   scaling the result of the preceding step in each channel;

  b. processing the result of the preceding step through a cascade comprising a plurality of dyadic rational lifting transforms, each of said plurality of dyadic rational lifting transforms comprising

      i.   a first bank of pairs of butterfly lifting steps with unitary coefficients between adjacent lines of said transform;

      ii.   a bank of delay lines in a first group of M/2 alternating lines;

      iii.   a second bank of butterfly lifting steps with unitary coefficients, and

      iv.   a bank of pairs of butterfly lifting steps with coefficients of $1/2$ between $M/2 - 1$ pairs of said $M/2$ alternating lines;

  c. transmitting or storing the output coefficients of said M x M block coder;

  d. receiving the output coefficients in a decoder; and

  e. processing the output coefficients in the decoder, comprising the steps of

      i.   processing the output coefficients through a cascade comprising a plurality of dyadic rational lifting transforms, each of said plurality of dyadic rational lifting transforms comprising

          a) a bank of pairs of butterfly lifting steps with coefficients of $1/2$ between said $M/2 - 1$ pairs of said $M/2$ alternating lines;

          b) a first bank of pairs of butterfly lifting steps with unitary coefficients between adjacent lines of said transform;

          c) a bank of delay lines in a second group of $M/2$ alternating lines;

       d) a second bank of pairs of butterfly lifting steps with unitary coefficients

between adjacent lines of said transform;

       e) a de-scaling bank; and

   f. processing the results of the preceding step in an inverse initial stage.

11. (Issued Patent, previously amended (allowed)) The apparatus of Claim 1 in which the

coefficients are approximations chosen for rapid computing rather than exact coefficients.

12. (previously presented in Preliminary Amendment, previously amended in Supplemental

Preliminary Amendment, currently amended) A method of coding, storing or transmitting, and

decoding a block of M x M intensities from a digital image selected by an M x M window

moving recursively over the image, comprising:

   a. processing the intensities in an M x M block coder comprising the steps of:

      i.   processing the intensities through an initial stage;

      ii.   scaling the result of the preceding step in each channel;

  b. processing the result of the preceding step through a transform coder using

A̶a method of processing blocks of samples of digital signals of integer length M comprising

processing the digital samples of length M with an invertible linear transform of dimension

M, said transform being representable as a cascade, using the steps, in arbitrary order, of:

      a̶)i)   at least one +/-1 butterfly step,

      b̶)ii)   at least one lifting step with rational complex coefficients, and

      c̶)iii)   at least one scaling factor.̶;

  c. transmitting or storing the output coefficients of said M x M block coder;

d. receiving the output coefficients in a decoder; and

e. processing the output coefficients in the decoder into a reconstructed image using the inverse of the coder of steps a. and b.

13. (previously presented in Preliminary Amendment, currently amended) The method of Claim 12 wherein the method of processing blocks of samples of digital signals of integer length M additionally comprisinges the step of at least one time delay.

14. (previously presented in Preliminary Amendment, currently amended) The method of Claim 12, wherein the rational complex coefficients in the at least one lifting steps are dyadic.

15. (previously presented in Preliminary Amendment) The method of claim 12, wherein

    a) said invertible transform is an approximation of a biorthogonal transform;

    b) said biorthogonal transformation comprises a representation as a cascade of at least one butterfly step, at least one orthogonal transform, and at least one scaling factor;

    c) said at least one orthogonal transform comprises a cascade of

        i)   at least one +/-1 butterfly step,

        ii)   at least one planar rotation, and

        iii)   at least one scaling factor;

    b) said at least one planar rotation being represented by equivalent lifting steps and scale factors; and,

    c) said approximation is obtained by replacing floating point coefficients in the lifting steps with rational coefficients.

16. (previously presented in Preliminary Amendment) The method of claim 15, wherein the coefficients of the lifting steps are chosen to be dyadic rational.

17. (previously presented in Preliminary Amendment) The method of claim 12, wherein the invertible transform is a unitary transform.

18. (previously presented in Preliminary Amendment) The method of claim 12, wherein

   a) said invertible transform is an approximation of a unitary transform;

   b) said approximation of the unitary transform comprises a representation of the unitary transform as a cascade of at least one butterfly step, at least one orthogonal transform, and at least one scale factor;

   c) said at least one orthogonal transform being represented as a cascade of

      (1) at least one +/-1 butterfly steps,

      (2) at least one planar rotation, and

      (3) at least one scaling factor;

   d) said at least one planar rotation being represented by equivalent lifting steps and scale factors; and,

   e) said approximation being derived by using approximate rational values for the coefficients in the lifting steps.

19. (previously presented in Preliminary Amendment) The method of claim 18, wherein the invertible transform is an approximation of a transform selected from the group of special

unitary transforms: discrete cosine transform (DCT); discrete Fourier transform (DFT);

discrete sine transform (DST).

20. (previously presented in Preliminary Amendment) The method of claim 18, wherein the

coefficients of the lifting steps are dyadic rational.

21. (previously presented in Preliminary Amendment) The method of claim 18, wherein at least

one of the following lifting steps is used, whose matrix representations take on the form:

$$\begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ b & 1 \end{bmatrix}, \text{where } a, b \text{ are selected from the group:}$$

+/- {8, 5, 4, 2, 1, ½, ¼, ¾, 5/4, 1/8, 3/8, 2/5, 5/8, 7/8,1/16, 3/16, 5/16, 7/16,

9/16, 11/16, 13/16, 15/16, 25/16}.

22. (previously presented in Preliminary Amendment, currently amended) The method of claim

21, wherein the invertible transform is an approximation of a transform selected from the

group ~~of special unitary transforms~~: discrete cosine transform (DCT); discrete Fourier

transform (DFT); discrete sine transform (DST).

23. (previously presented in Preliminary Amendment, currently amended) The method of claim

22, wherein the approximation of the ~~4-pt~~4 point DCT is selected from the group of matrices:

$$\left\{ \begin{bmatrix} 1 & 1 & 1 & 1 \\ 2 & 1 & -1 & -2 \\ 1 & -1 & -1 & 1 \\ 1 & -1 & 1 & -1 \end{bmatrix}, \begin{bmatrix} 1 & 1 & 1 & 1 \\ 2 & 1 & -1 & -2 \\ 1 & -1 & -1 & 1 \\ 1 & -2 & 2 & -1 \end{bmatrix}, \begin{bmatrix} 1 & 1 & 1 & 1 \\ 2 & 1 & -1 & -2 \\ 1 & -1 & -1 & 1 \\ 5 & -2 & 2 & -5 \end{bmatrix} \right\}$$

24. (previously presented in Supplemental Preliminary Amendment) The method of Claim 19 in which the invertible transform is an approximation of a transform selected from the group three point DCT, 4 point DCT, 8 point DCT, and 16 point DCT.

25. (previously presented in Supplemental Preliminary Amendment) The method of Claim 19 in which the invertible transform is an approximation of a transform selected from the group 512 point FFT, 1024 point FFT, 2048 point FFT, and 4096 point FFT.

26. (new) A method of coding, storing or transmitting, and decoding sequences of intensities of integer length M recursively selected from a time ordered string of intensities arising from electrical signals, the method comprising the steps of

    a) recursively processing the sequences of intensities of integer length M with an invertible forward linear transform of dimension M, said transform being representable as a cascade using the steps, in a preselected arbitrary order, of:

        i)   at least one +/-1 butterfly step,

        ii)   at least one lifting step with rational complex coefficients, and

        iii)   applying at least one scaling factor;

    b) compressing the resulting transform coefficients;

    c) storing or transmitting the compressed transform coefficients;

    d) receiving or recovering from storage the transmitted or stored compressed transform coefficients;

e) decompressing the received or recovered compressed transform coefficients: and

f) recursively processing the decompressed transform coefficients with the inverse of the

forward linear transform of dimension M, said inverse transform being representable as a

cascade using the steps, in the exact reverse order of the preselected arbitrary order, of:

i) a least one inverse butterfly corresponding to each of the at least one +/-1 butterfly

step;

ii) at least one inverse lifting step corresponding to each of the at least one lifting

step with rational complex coefficients; and,

iii) applying at least on inverse scaling factor corresponding to the at least one scaling

factor.

27. (new) The method of Claim 26 wherein the method of processing blocks of samples of

digital signals of integer length M additionally comprises the step of at least one time delay.

28. (new) The method of claim 26, wherein the rational complex coefficients in the at least one

lifting step are dyadic.

29. (new) The method of claim 26, wherein

a) said invertible transform is an approximation of a biorthogonal transform;

b) said biorthogonal transformation comprises a representation as a cascade of at least one

butterfly step, at least one orthogonal transform, and at least one scaling factor;

c) said at least one orthogonal transform comprising a cascade of

i) at least one +/-1 butterfly step,

ii)   at least one planar rotation, and

iii)   at least one scaling factor;

b) said at least one planar rotation being represented by equivalent lifting steps and scale factors; and,

c) said approximation being obtained by replacing floating point coefficients in the lifting steps with rational coefficients.

30. (new) The method of claim 29, wherein the coefficients of the lifting steps are chosen to be dyadic rational.

31. (new) The method of claim 26, wherein the invertible transform is a unitary transform.

32. (new) The method of claim 26, wherein

a) said invertible transform is an approximation of a unitary transform;

b) said approximation of the unitary transform comprises a representation of the unitary transform as a cascade of at least one butterfly step, at least one orthogonal transform, and at least one scale factor;

c) said at least one orthogonal transform being represented as a cascade of

(1) at least one +/-1 butterfly steps,

(2) at least one planar rotation, and

(3) at least one scaling factor;

d) said at least one planar rotation being represented by equivalent lifting steps and scale factors; and,

e) said approximation being derived by using approximate rational values for the

coefficients in the lifting steps.

33. (new) The method of claim 32, wherein the invertible transform is an approximation of a

transform selected from the group of special unitary transforms: discrete cosine transform

(DCT); discrete Fourier transform (DFT); discrete sine transform (DST).

34. (new) The method of claim 32, wherein the coefficients of the lifting steps are dyadic

rational.

35. (new) The method of claim 32, wherein at least one of the following lifting steps is used,

whose matrix representations take on the form: $\begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix}$, $\begin{bmatrix} 1 & 0 \\ b & 1 \end{bmatrix}$, where a, b are selected from the

group:

$$+/- \{8, 5, 4, 2, 1, \frac{1}{2}, \frac{1}{4}, \frac{3}{4}, 5/4, 1/8, 3/8, 2/5, 5/8, 7/8, 1/16, 3/16, 5/16, 7/16,$$

$$9/16, 11/16, 13/16, 15/16, 25/16\}.$$

36. (new) The method of claim 35, wherein the invertible transform is an approximation of a

transform selected from the group: discrete cosine transform (DCT); discrete Fourier transform

(DFT); discrete sine transform (DST).

37. (new) The method of claim 36, wherein the approximation of the 4 point DCT is selected

from the group of matrices:

$$\left\{ \begin{bmatrix} 1 & 1 & 1 & 1 \\ 2 & 1 & -1 & -2 \\ 1 & -1 & -1 & 1 \\ 1 & -1 & 1 & -1 \end{bmatrix}, \begin{bmatrix} 1 & 1 & 1 & 1 \\ 2 & 1 & -1 & -2 \\ 1 & -1 & -1 & 1 \\ 1 & -2 & 2 & -1 \end{bmatrix}, \begin{bmatrix} 1 & 1 & 1 & 1 \\ 2 & 1 & -1 & -2 \\ 1 & -1 & -1 & 1 \\ 5 & -2 & 2 & -5 \end{bmatrix} \right\}$$

38. (new) The method of Claim 33 in which the invertible transform is an approximation of a transform selected from the group three point DCT, 4 point DCT, 8 point DCT, and 16 point DCT.

39. (new) The method of Claim 33 in which the invertible transform is an approximation of a transform selected from the group 512 point FFT, 1024 point FFT, 2048 point FFT, and 4096 point FFT.